# STATE OF WISCONSIN (2) DVD Department of Workforce Development

# A Brief Introduction to Final Medical Reports

Philip Roberts and Frank Salvi
Dispute Resolution Specialists

#### Introduction

- An understanding of DWC's final medical report (FMR) requirement
- Proactive measures for timely claim closure
- Solutions to common problems procuring reports
- Guidance on some uncommon situations
- Questions



#### FMR - Who?

- Temporary disability exceeds 3 weeks
- Permanent partial disability indicated
- Surgery
  - Hernias are exempt, including when TD exceeds 3 weeks
  - Minor surgeries included; e.g., sutures, abscess drainage, etc.
- Eye injuries with 3 or more medical visits
- Traumatic hearing loss or tinnitus
  - Occupational hearing loss only claims are exempt



#### FMR - Where?

- Documentation must be submitted in the following formats:
  - Online Upload documents directly via the Insurer Portal and track completion of its review (preferred method)
  - Fax Acceptable for most correspondence
  - Mail X-rays required to be submitted via mail; all correspondence acceptable
  - E-mail Not acceptable unless explicitly requested;
     attachments sent to general mailboxes are deleted per DWD security protocol



#### FMR - When?

- An FMR must be submitted after one of the following conditions is met:
  - End of healing/maximum medical improvement (EOH/MMI)
  - Deceased before EOH
  - Injured worker is inadvertently unavailable before final visit to their provider (e.g., relocation outside the US, lengthy incarceration, etc.)



## FMR – Why?

- Wisconsin law may require a final medical report from a treating practitioner. Wis. Stat. 102.13(2)(c)
  - Helps verify the proper amount of indemnity due
  - Not limited to form WKC-16
- IMEs, by statute, cannot be considered an FMR
  - No FMR necessary if an IME denies benefits in a manner that exempts the claim from FMR requirement
  - In extremely rare instances, DWC guidance may involve an IME to substantiate a PPD rating



#### FMR - What?

- A report must contain the following to be considered final:
  - PPD ratings according to Wis. Admin. Code DWD 80.32 for all injured parts of body, diagnoses, and sequelae
  - Office/clinic notes (if referenced by provider)
  - Operative notes for stat min surgeries
    - Anesthesia notes alone are not acceptable
  - End of healing and discharged from active treatment
  - Signed and dated by MD, DPM, DO, DC, PsyD, or PhD
- A WKC-16 is not necessary if a report contains all the above



# FMR - Fingers

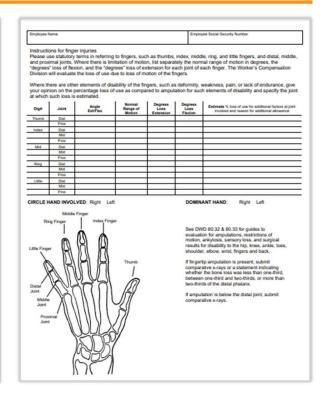
- Injuries involving fingers need more attention than other joints. When adjusting the claim, please take the following into consideration to avoid follow-up correspondence from DWC:
  - If amputation occurs beyond two-thirds of the distal joint, hand dominance and x-rays must be submitted
  - When range of motion is lost, a statutory minimum applies;
     verify the measurements are within the report



#### WKC-16

Ensure diagnosis
 and permanent
 disability fields are
 fully completed
 (amputation: only if
 present)

Pycelsion of your Spoist 5 information processing de The Department of World: Chapter 102 Wis. State to or required by Chapter may result in a delay in the	RT ON INDUSTRIAL INJU pocurity Number (55N) is voluntary. Fail- ing. Inco Considerated (5WO) administrates the he purpose of this form is to assist with 1 102. Completion of this form is a voluntary audinosistation of Chapter (02. DWO - tors you on the form for purposes other fore you on the form for purposes other	ure to provide it may result to Worker's Compensation the procursewest of information and feiture to complete these uses the personally if	Will in an Man Act, Te radion reliated his said form tentholes	orker's Co 1 E. Wash D. Box 790 dison, W1 inphone: § a: (600) 20 ps://dwd.w	53707 908) 266-1340
	WC Claim Number	Employee Name			
PATIENT	Employee Social Security Number*	Employee Address			
	Injury Date	Employer Name		ineuran	ce Company
HISTORY	History as described by patient				
DIAGNOSIS  Please be as detailed as possible)					
PERMANENT	What amputation present?	-tays taken?		Stump:	
DISABILITY Describe permanent elements of disability, such as limitation of	Has permanent disability resulted?	Cele of Last Exam	Has healing period of Yes No	ended?	Patient decharged?
Describe permanent elements of disability.		Date of Last Exam	Has healing period of Yes No	erded?	
Describe permanent elements of daubility, such as limitation of motion, pain, weakness, etc., and describe effect	Yes No	Date of Last Exam	Has healing period of Yes No		Yes No
Describe permanent elements of daubility, such as limitation of motion, pain, weakness, etc., and describe effect	Yes No Description of permanent disability ()	Date of Last Exam  Record finger motion loss  if accident? Yes	Has healing period ( Vec No ses on neverse.)		Yes No
Dissorbs permanent sistements of dissolity, such as limitation of motion, pain, weathers, etc., and dissorbs effect on serving shifty.)  PRIOR	Visit No Description of permanent disability (i Was surgery performed as a result of	Date of Last Exam  Record finger motion loss  if accident? Yes	Has healing period ( Vec No ses on neverse.)		Yes No
Describe permanent simments of describly, such as limitation of motion, pain, weathers, etc., and describe effect on working shilly.)	Visa No Description of permanent disability () Was surgery performed as a nessel: If heading has not ended, what is man	Date of Last Exam  Record finger motion loss  if accident? Yes	Has healing period ( Vec No ses on neverse.)		Yes No
Describe permanent seinerate of describe, such as limitation of motion, pain, weathers, etc., and describe effect on senting shilly.)  PRIOR DISABILITY	The surport of personners disability ()  Whe surport performed as a result of If healing has not ended, what is min. What previous disability?	Date of Lett Exam  Record finger motion loss  finodower? Yes ( insure permissent disability)	Has healing period of Ves No.  No. RYes, stati		Yes No
Describe permanent seinerate of describe, such as limitation of motion, pain, weathers, etc., and describe effect on senting shilly.)  PRIOR DISABILITY	Description of permanent disability ( Description of permanent disability ( What surgery performed as a mount of Through you not ended, what is men What previous disability? Programs: Calle injuries was or will be able to re State any limitation. Calle injuries was or will be able to re	Date of Leaf Exam  Second finger makin too  f account of account o	No hading period of Vec No	is type of a	□ Vas □ No
Describe permanent seinerate of describe, such as limitation of motion, pain, weathers, etc., and describe effect on senting shilly.)  PRIOR DISABILITY	Description of permanent disability ( Description of permanent disability ( What surgery performed as a small of Theoling has not entitled, what is ever What provious disability? Programme Description of the defect in the State any brokelone.	Date of Leaf Exam  Second finger makin too  f account of account o	No hading period of Vec No	is type of a	□ Vas □ No
Describe permanent seinerate of describe, such as limitation of motion, pain, weathers, etc., and describe effect on senting shilly.)  PRIOR DISABILITY	Description of permanent disability ( Description of permanent disability ( What everyory performed as a moud of Finding permanent disability ( Finding permanent disability) Finding permanent disability Finding permanen	Date of Leaf Exam  Second finger makin too  f account of account o	No hading period of Vec No	is type of a	□ Vas □ No
Describe permanent services of adults), services, and describe affect on working ability.)  PRIOR DISABILITY PROGNOSIS	Description of permanent disability ( Description of permanent disability ( What everyory performed as a moud of Finding permanent disability ( Finding permanent disability) Finding permanent disability Finding permanen	One of Leaf Exem  Record finger mutain tea  f accodent? Vec  imum permanent disabilit  turn to a limited type of a  turn to full-time work sub	No hading period of Vec No	n type of a	The No

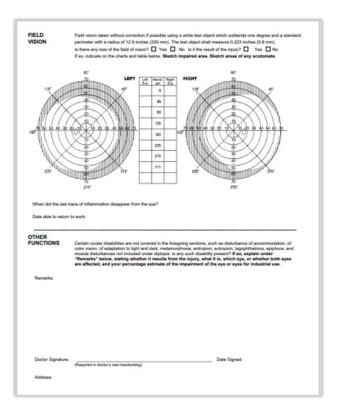




#### WKC-16-A

 Ensure the first page has been fully answered

PHYSICIAN'S REPORT ON EYE INJURIES Refer to Ind. 80.26, Loss of vision; determination							P.O. Mad Tale	Department of Workforce Development Worker's Compensation Division 2016. Washington. Aus. P.O. Box 7901 Madison, WI 53707 Telephone: (008) 265-1549 Fax: (000) 207-0204											
Provision of your Social stormation processing the Department of Wor 02 Ws. Stats. The p. olaritary and failure to obtains from you on the	delay. Idoros De arpose of l complete sis form to	twelopment (D) this form is to said form may or purposes of	WD) admini assist with t result in a	laters the Work the procureme delay in the ac	ser's Compensation of Commission of Compensation of Comp	on Act, Ch related to o	helps e-mi ageter or required by C	e Sided wise sit DWDON Drugster 100	WC@d	bud wisconsin.	form is								
PATIENT	WC Claim Number Employee Name																		
	SOCIALS	Security Numb	Mr.	Employee Ad	Dess														
HISTORY	Injury D	Injury Date Employer Name			ne .		insuran	Insurance Company Name											
	Date of	First Treatme	rst		late of Last Treat	trient or Ex	INT.			nye is injured? pri Luft	0 0								
	If any o	ine eye is inju	red, in the d	other eye affect	led?   Yes	No I	Yes, explain:												
NATURE OF INJURY AND		be as detailed																	
DIAGNOSIS	Place at	Is physical condition of the syes stationary?  Ves. No. If No. explain:  100 catasscheme as a no. 22 fectorate throne as a no. 24 fectorate throne was no. 24 feat throne been a surgicial no. 25 feat throne no. 25 feat					I Yes, explain:												
CENTRAL VISUAL READINGS	Delance Use Swelen leaf letters or characters up to 20/900.  Near Use AMAR Reading Card up to 14/590.																		
IMPORTANT:	After Injury				Т	Pre-existing other condi-	before in	jury, i	including pres the result of	byopi the in									
PLEASE	Without Correction With C			Correction			Without Correction		With Co										
FILL OUT		Distance	Near	Distance	Near	_	Distance	Nes	r	Distance	ħ								
COMPLETELY	Right					Right													
FOR EACH EYE	Left				1	Left													
PRIOR	Did the In there	amployee we a record or po	outive indic	cation of pre-ex	subnormal visio isting subnormal	vision?	Yes No	o If Yes, E	aplaire										
DISABILITY	In the re	emaining impa	irment due	to the injury?	Yes No	Explair:				Is there absence of useful binocular vision?									
BINOCULAR VISION	is there Explain	absence of u	seful binocu	dar vision?	Yes No		/2003.8 W												
BINOCULAR	is there Explain	absence of u	seful binocu	dar vision?			estay?		ndustr	ial Motor Field	d Char								
BINOCULAR	is there Explain	absence of u	eeful binocu	dar vision?	Yes No		dalay?		ndustr	al Motor Field	d Char								
BINOCULAR	is there Explain if a results is there auch	absence of us cause: uit of the injury any diplopia ; s. this should b	what is the present?	dar vision?	Yes No	saneré disc	oley?		ndustr	ial Motor Fiel	d Char								
BINOCULAR	is there Explain if a rest. is there if Yes each. indus	a sheence of us cause: ult of the injury a any diplopie p s, this should b aquare in whi	what is the present?	dar vision?	Yes No of additional perm	saneré disc	edby?		ndustr	al Motor Field	d Char								





# Incomplete Reports

- If you received a letter stating your report is not final, it is due to one of the following:
  - A missing operative note (the most common letter)
  - Submitted only an IME or considered it as an FMR
  - The report was signed by a PA-C, APRN, or DDS
  - The report lacked enough information to be final
  - AMA Guidelines were used to rate scheduled injuries
- Taking a few minutes to verify the integrity of submitted reports will eliminate weeks of turnaround



# Getting Help With Unresponsive Parties

- When a treating provider is unresponsive to requests for an FMR, upon request DWC will assist using the following form processes:
  - Form GL15 Letter sent to the provider with WKC-16 attached
    - Three attempts to obtain an FMR must be submitted with your request
    - Name of provider (not clinic) and address/fax necessary
  - Form GL10 Letter sent to the injured worker to be evaluated
    - A GL15 must be sent before a GL10 is sent unless the provider indicates the patient was lost to follow-up
- We allow a 60-day follow-up period for both forms



# Getting Help With Providers

- DWC will sometimes intervene with providers upon request. Issues that we will address can be, but are not limited to:
  - Providers refusing to rate injuries
    - If they defer to another provider, you must contact the subsequent provider before requesting our help
  - The treating provider retired or is no longer practicing
    - Ask to have another provider at the same clinic complete an FMR
  - Providers not understanding WI ratings guidelines/law
  - A demand of pre-payment for an FMR
  - A demand for more than \$100 for an FMR



# Getting Help With Injured Workers

- DWC will also assist with issues regarding an injured worker.
   Some common issues and remedies include:
  - A worker skipping their final visit on a denied claim. Inform the worker that the final visit and associated costs are covered
  - A worker refusing to attend an IME. Contact us to speak to an ALJ, who will determine if your request for examination is proper and provide assistance thereafter
  - A worker has been rendered indefinitely unavailable
    - Corrections physician cannot complete FMR for incarcerated worker



# Issues Postponing FMRs

- In rare instances, an injured worker may be unavailable for an extended but definite period. DWC will not reach out under those circumstances, which may include:
  - Treatment for another nonrelated work injury
  - Smoking cessation, weight loss, or rehabilitation programs, etc.
  - Short periods of incarceration
  - Seasonal workers traveling abroad
- Upon request, DWC will extend expected report due dates to avoid surcharge as long as necessary, but we will not close a claim for these issues



## Additional Tips

- Use the Insurer Portal as often as possible to update DWC of an expected FMR date
  - If you are unable to do it yourself, send an email via the Portal;
     do not write a note when submitting a WKC-13
- If you send letters to the injured worker, consider a cc to DWC to avoid future requests, especially investigatory
- Avoid delay inquiries by timely reporting WKC-13s after first payment of all periods of TTD or PPD (within 30 days)
- Do not assume the answer to difficult situations: contact us for guidance, and we will assist as best we can



# Wisconsin Department of Workforce Development Worker's Compensation Division

(608) 266-1340

https://dwd.wisconsin.gov/wc/

Phil Roberts, DRS

(608) 264-8783

Philip.Roberts@dwd.wisconsin.gov

Frank Salvi, DRS

(608) 267-4327

Frank.Salvi@dwd.wisconsin.gov

